

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Patent Application of:   |                       |
|--|-----------------------|
| Ahmad Zandi, et al.  | Examiner: Johnson, T. |
| Application No.: 08/941,466  | Art Unit: 2723        |
| Filed: September 30, 1997  |                       |
| For: A METHOD FOR COMPRESSION USING ( REVERSIBLE EMBEDDED WAVELETS ) | RECEIVED JUN 3 0 1999 |
| Assistant Commissioner for Patents Washington, D.C. 20231            | G10110 2700           |

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

|             | 37 C.F.R. §1.97(b).   |  |  |  |
|-------------|---|--|--|--|
|             | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following: |  |  |  |
| <u>_X</u> _ | A statement pursuant to 37 C.F.R. §1.97(e) or   |  |  |  |
| <u> </u>    | A check for \$240.00 for the fee under 37 C.F.R. § 1.17(p).   |  |  |  |
|             | 37 C.F.R. §1.97(d). If so, then enclosed with this Informatio Disclosure Statement are the following:               |  |  |  |
|             | (1)   | A statement pursuant to 37 C.F.R. §1.97(e);                                      |  |  |
|             | (2)   | A petition requesting consideration of the Information Disclosure Statement; and |  |  |

A check for \$\_\_\_\_\_ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure

(3)

Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7 / 1999

Michael J. Mallie Reg. No. 36,591

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8598

| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on |
|---|
| (Date of Deposit)   |
| Sharon M. Osofsky   |
| (Typed or printed name of person mailing correspondence)  |
| Shaw n. osopy   |
| (Signature of person mailing correspondence) $\cup$ $\Diamond$  |







## FIRST CLASS CERTIFICATE OF MAILING

#29 TL

|   | •   |
|---|---|
| Serial/Patent No.: 08/941,466                                 | Filing/Issue Date: 9/30/97                                    |
| Client: Ricoh   | ·   |
| Title: A METHOD FOR COMPRESSION                               | N USING REVERSIBLE EMBEDDED                                   |
| WAVELETS  |   |
| BSTZ File No.: 74451.P024C                                    | Atty/Secty Initials: MJM/SmO                                  |
| Date Mailed: 6/21/99  | Docket Due Date: ********                                     |
| The following has been received in the U.S. Patent            |   |
| Amendment/Response (pgs.)                                     | Express Mail No.: Check No.                                   |
|   | Month(s) Extension of Time Amt.                               |
|   | Aformation Disclosure Statement & PTO 1449 2 pgs.)   Check No |
| Application - Rule 1.53(b) Continuation (pgs.)                | Issue Fee Transmittal Amt                                     |
|   | Notice of Appeal  |
| Application - Rule 1.53(b) CIP (pgs.)                         | Petition for Extension of Time                                |
|   | Petition for  |
|   | Postcard  |
|   | Power of Attorney ( pgs.)                                     |
|   | Preliminary Amendment ( pgs.)                                 |
|   | Reply Brief (pgs.)  |
| Certificate of Mailing  | Response to Notice of Missing Parts                           |
| Declaration & POA ( pgs.)                                     | Small Entity Declaration for Indep. Inventor/Small Business   |
| Disclosur Dois & Oig & Copy of Irvento's Signed Letter (pigs) | Transmittal Letter, in duplicate                              |
|   | Fee Transmittal, in duplicate                                 |
| Drawings: voi sneets includes figures                         | rec maisimum, in suprem                                       |
| MYOther Copies of Cited Arti                                  |   |
| MADINET CODIES OF CICER AFTER                                 |   |
| Exatement (male   |   |
| - ALCOLO CITA   |   |
| 27 C.F.R  |   |
|   |   |

RECEIVED

JUN 3 0 1999

Grown ATCO



Attorney's Docket No. 74451.P024C

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re F | Patent Application of:   |  |  |
|---------|--|--|--|
|         | Ahmad Zandi, et al.  | Examiner: Johnson, T.  |  |
| Applica | ation No.: 08/941,466  | )<br>Art Unit: 2723  |  |
| Filed:  | September 30, 1997   |  |  |
|         | A METHOD FOR COMPRESSION<br>USING REVERSIBLE EMBEDDED<br>WAVELETS  | received   |  |
|         |  | JUN 3 0 1999   |  |
|         | ant Commissioner for Patents<br>ngton, D.C. 20231  | Grown 2700   |  |
| Sir:    | STATEMENT UNDER 37 C   | .F.R. §1.97(e)   |  |
|         | I hereby certify (as indicated by an "X" to  | the left of the appropriate  |  |
| paragr  | aph):  |  |  |
|         | That each item of information co Information Disclosure Statemer from a foreign patent office in a cont more than three months prior Disclosure Statement. | nt was cited in a communication counterpart foreign application  |  |
|         | Information Disclosure Statemer  | That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, |  |

LJV/cak (08/28/98)



and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

| Dated: | 9/4, | 1999 |                   |
|--------|------|------|-------------------|
|        |      |      | Michael J. Mallie |

12400 Wilshire Blvd.

Los Angeles, CA 90025-1026

Seventh Floor

(408) 720-8598

Reg. No. 36,591

-

Gram azon